12-01

30,181

December 3, 2001

Registration No. (Attorney/Agent)

Please type a plus sign (+) inside this box		
---	--	--

Approved for use through 10/31/2002. OMB 0651-0032 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

## Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number **SEL 132 DIV 1** UTILITY Attorney Docket No. PATENT APPLICATION

Shunpei YAMAZAKI, et al. First Inventor **ELECTROOPTICAL DISPLAY DEVICE** Title

TRANSMITTAL

Express Mail Label No. (Only for new nonprovisional applications under 37 CFR 1.53(b)) EL 845496666US Assistant Commissioner for Patents APPLICATION ELEMENTS ADDRESS TO: **Box Patent Application** See MPEP chapter 600 concerning utility patent application contents. Washington, DC 20231 Fee Transmittal Form (e.g., PTO/SB/17) CD-ROM or CD-R in duplicate, large table or (Submit an original and a duplicate for fee processing) Computer Program (Appendix) Applicant claims small entity status. 8. Nucleotide and/or Amino Acid Sequence Submission See 37 CFR 1.27. (if applicable, all necessary) Specification [Total Pages (preferred arrangement set forth below) 3. L X Computer Readable Form (CRF) - Descriptive title of the invention b. Specification Sequence Listing on: Copy of Cross Reference to Related Applications Priority CD-ROM or CD-R (2 copies); or Statement Regarding Fed sponsored R & D Application - Reference to sequence listing, a table, i i. 🔲 or a computer program listing appendix - Background of the Invention Statements verifying identity of above copies - Brief Summary of the Invention ACCOMPANYING APPLICATION PARTS - Brief Description of the Drawings (if filed) - Detailed Description Assignment Papers (cover sheet & document(s)) 37 CFR 3.73(b) Statement Power of - Abstract of the Disclosure 10 (when there is an assignee) Attorney English Translation Document (if applicable) 11. Drawing(s) (35 U.S.C. 113) [ Total Sheets Copies of IDS Information Disclosure 5. Oath or Declaration [ Total Pages Citations Statement (IDS)/PTO-1449 13. X **Preliminary Amendment** Newly executed (original or copy) Copy from a prior application (37 CFR 1.63 (d)) (for continuation/divisional with Box 18 completed) Return Receipt Postcard (MPEP 503) 14. X X (Should be specifically itemized) Certified Copy of Priority Document(s) (if foreign priority is claimed) DELETION OF INVENTOR(S) 15. Signed statement attached deleting inventor(s) Nonpublication Request under 35 U.S.C. 122 named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b). (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.

1. Transmittal Letter. 2. Check-.\$1,388.00 Application Data Sheet. See 37 CFR 1.76 Other: 3. Appointment of Associate Attorneys from Prority 17. X 18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, Application or in an Application Data Sheet under 37 CFR 1.76.  $\mathbf{X}$ Continuation .Divisional Continuation-in-part (CIP) of prior application No.:\_ Examiner Mike Qi Group Art Unit: For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation <u>can only</u> be relied upon when a portion has been inadvertently omitted from the submitted application parts. 19. CORRESPONDENCE ADDRESS X Customer Number or Bar Code Labe Correspondence address below 26568 Name Address City State Zip Code Country Fax Telephone

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

Stephen B. Heller

Name (Print/Type)

Nj

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

## CERTIFICATE OF MAILING BY "EXPRESS MAIL"

"Express Mail" Mailing Label No.: <u>EL845496666US</u>

Date of Deposit <u>December 3, 2001</u>

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office Box Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to Box Patent Application, U.S. Patent and Trademark Office, P.O. Box 2327, Arlington, VA 22202

NAME Yue x Ruan

SIGNATURE 4~ 8

Docket No.: <u>SEL 132 DIV 1</u>

Prior Application:

Examiner: Mike Qi

Art Unit: 2871

Commissioner for Patents Washington, D.C. 20231

Dear Sir/Madam:

This is a request for filing a [ ] continuation [X] divisional application of pending prior application Serial No. 09/329,597 filed on June 10, 1999 for Electrooptical Display Device.

 Enclosed is a copy of the prior application, including the oath or declaration as originally filed.

I hereby verify that the attached papers are a true copy of prior application Serial No. 09/329,597 as originally filed on June 10, 1999 and further that this statement is made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may

jeopardize the validity of the application or any patent issuing thereon.

- 2. [ X ] The filing fee is calculated below pursuant to the claims as filed <u>in the prior application</u>:
  - a. [ ] less any claims canceled by Amendment below.

  - [ ] Small Entity
    [ X ] Large Entity

For	Number Filed	Number Extra	Rate		Fee
Total Claims	42-20	22	x \$18	\$	396.00
Independent Claims	6-3	3	x \$84	\$	252.00
Multiple Dependent Claims (minimum fee - additional charge may be due under total claim calculation - See 37 CFR §§ 1.16(d) and 1.75(c))			\$280.00		
Basic Fee (minimum amount required)			\$740.00	\$	740.00
Total Filing Fee				\$1	,388.00

- 3. [X] The Commissioner is hereby authorized to charged any additional fees which may be required, or credit any overpayment to Account No. 50/1039. A duplicate copy of this sheet is enclosed.
- 4. [ X ] A check in the amount of \$1,388.00 is enclosed.

- 5. [ X ] Cancel in this application original claims  $\frac{1-35}{1-35}$  of the prior application before calculating the filing fee.
  - [ X ] Enter the enclosed Preliminary Amendment prior to calculating the filing fee.
- 6. [ ] Amend the specification by inserting before the first line the sentence: --This is a [ ] continuation, [X] division, of application serial no. \_\_\_\_\_\_, filed \_\_\_\_\_.--
  - [ X ] Amend the specification per the attached Preliminary Amendment.
- 7. [ ] Transfer the drawings from the prior application to this application and abandon said prior application as of the filing date accorded this application. A duplicate copy of this sheet is enclosed for filing in the prior application file. (May only be used if signed by person authorized by 37 C.F.R. § 1.138 and before payment of base issue fee.)
  - [ X ] New formal drawings are enclosed.
  - [ ] Informal drawings are enclosed.
- 8. [X] Priority of application serial no. 10-165723 filed on June 12, 1998 in Japan is claimed under 35. U.S.C. § 119.

- [ X ] The certified copy of the priority application has been filed in prior application serial no. 09/329,597, filed June 10, 1999.
- 9. [X] With respect to the prior co-pending U.S. application from which this application claims benefit under 35 U.S.C. § 120 the inventor(s) in this application are:
  - a. [ X ] the same.
- 10. [X] The inventorship for all the claims in this application is:
  - a. [ X ] the same.
  - b. [ ] not the same, and an explanation, including the ownership of the various claims at the time the last claimed invention was made, is submitted.
- 11. [X] The prior application is assigned of record to Semiconductor Energy Laboratory Co., Ltd..

- 12. [X] The power of attorney in the prior application is to: <u>Edward D. Manzo</u> registration no. <u>28,139</u> of <u>Cook, Alex, McFarron, Manzo, Cummings & Mehler,</u> <u>Ltd.</u>
  - a. [ X ] The power appears in the original papers in the prior application. ( A copy is enclosed.)
  - b. [ ] Since the power does not appear in the original papers, a copy of the power in the prior application is enclosed.
  - c. [ X ] Address all future communications to:

Edward D. Manzo Cook, Alex, McFarron, Manzo, Cummings & Mehler, Ltd. 200 West Adams Street, #2850 Chicago, Illinois 60606

Respectfully submitted,

Date: 3 December 2001

Steplen B/ Heller

Registration No. 30,181

COOK, ALEX, McFARRON, MANZO, CUMMINGS & MEHLER, LTD.
200 West Adams Street, #2850 Chicago, Illinois 60606 (312)236-8500